

HIST 3500 – Fall 2017
Instructor: Mel Thatcher
MWF 09:40-10:30 AM
Marriott Center for Dance MCD 130

Course Description: Pre-Modern China

Time period: Pre-history to 1368 AD

Subject matter: The goal of lectures, readings, and class discussion is to help you become conversant in the broad outlines of the pre-history and history of pre-modern China and its institutions and culture. The first part of this course will consist of a brief survey of the archaeological evidence for early man and pre-historic cultures and an overview of the political history, important personalities, and major events in the historical era of pre-modern China. The second part of the course will be devoted to a topical survey of developments and changes in society, government, land and taxes, economy, legal history, military, alien rule, religion, philosophy, literature, and the visual arts.

Canvas online classroom: The syllabus, lecture slides, detailed reading assignments, web links, and other class related materials will be posted on the online classroom on Canvas. It is your responsibility to go there to view or download such items.

Reading assignments: You are expected to read the assigned materials and provide written answers to the questions on the Assignments page on and via Canvas. 25% of grade.

Examinations: There will be four quizzes during the semester and a final exam. See the syllabus for the quiz dates. Contact me ahead of time if you cannot take a quiz as scheduled. A one letter grade deduction will be made on make-up quizzes for unexcused absences during the scheduled quiz time. 50% of grade.

Term assignment: Due last day of class, December 6th. You may do a term paper or an annotated bibliography. A term paper must be typed, double-spaced, and 8 to 10 pages long. You are expected to provide full citations for your sources and to write in a clear and concise style. (For questions about style, see the *University of Chicago Manual of Style Online* at <http://campusguides.lib.utah.edu/content.php?pid=73712&sid=1099037>.) An annotated bibliography must be typed at 1.15 line spacing, and be 8 to 10 pages in length. Please consult me before settling on a topic. One letter grade will be deducted for an unarranged late submission. *Do not submit a paper that you have written for another class.* 25% of grade

Plagiarism: Plagiarism will result in automatic failure of the class. I am required to report plagiarism to your department and to the university administration, so make sure this does not happen. Use your own words, use the proper format for direct quotes, and always cite the sources of your information and for the ideas that you take from others. Submit drafts of your term assignment through the “Assignments” page at our Canvas classroom, so that it can be checked by Turnitin.com. Allow me to review a draft of your paper before final submission.

Grading: Your final grade will be calculated as follows: The lowest score among the four quizzes will be discarded. The average of the top three quiz scores will carry equal weight with grades for the final exam, the term assignment, and the completion of reading assignments.

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<i>Date</i>	<i>Topic</i>	<i>Reading Assignments (subject to change)</i>
Aug 21	Introduction	Ebrey, "Geography," http://depts.washington.edu/chinaciv/geo/geo.htm .
Aug 23	Pre-history and origins tradition	Ebrey 2010:10-22. Liu 2000:129-64 ("Ancestor Worship"); Ebrey, "Neolithic Tomb at Dawenkou" http://depts.washington.edu/chinaciv/archae/2dwkmain.htm .
Aug 25	Xia Dynasty, 2070-1600 BC	Liu and Chen 2006:149-76 ("Sociopolitical Change from Neolithic to Bronze Age China").
Aug 28	Shang Dynasty, 1600-1046 BC	Ebrey 2010:22-30. Ebrey 1993:3-5 ("Late Shang Divination Records"); Ebrey, "Tombs" ("Shang Tomb of Fu Hao") http://depts.washington.edu/chinaciv/archae/2fuhmain.htm , "Oracle Bones (Dragon Bones)" http://www.youtube.com/watch?v=hR6Hb5Y_fIU
Aug 30	Western Zhou, 1046 -771 BC	Ebrey 2010:30-37. Li 2003:115-44 ("'Feudalism' and Western Zhou: A Criticism"). Ebrey, "Tombs" ("Zhou Tomb of Count of Yu") http://depts.washington.edu/chinaciv/archae/2coumain.htm
Sep 1	Eastern Zhou: Spring and Autumn, 770-453 BC	Ebrey 2010:38-59. Walker 1953:73-95 ("Multi-state system of Ancient China"). Ebrey 1993:38-41 ("Two Avengers"). Ebrey, "Tombs" (read "Eastern Zhou Tomb of Marquis Yi")
Sep 4	Labor Day – no class	http://depts.washington.edu/chinaciv/archae/2marmain.htm
Sep 6	Eastern Zhou: Warring States, 452-221 BC	
Sep 8	Quiz 1: Pre-imperial China	
Sep 11	Qin, 221-206 BC, Former Han, 206 BC-AD 8, Xin Dynasty, 9-23 and Later Han, 25-220	Ebrey 2010:60-85. Sima Qian 1993a:179-206 ("The Biography of Li Si"). Hinsch 2003:1-24 ("The Origins of Han-dynasty Consort Kin Power"). Ebrey 1993:54-56 ("The World beyond China"), 83-85 ("Uprisings"). Ebrey, "Tombs" (read "Han Tomb of Liu Sheng"). http://depts.washington.edu/chinaciv/archae/2liumain.htm .
Sep 13		
Sep 15	Three Kingdoms, 220-280 and Western Jin, 265-317	Ebrey 2010:86-89. Lewis 2009:28-53 ("The Rise of the Great Families"). Goldin 2005:32-34 ("The Seven Worthies of the Bamboo Grove").
Sep 18	Northern and Southern Dynasties, 317-581	Ebrey 2010:89-107. Lewis 2009:54-85 ("Military Dynasticism"). Ebrey 1993:109-11 ("Cultural Differences between North and South").
Sep 20	Sui Dynasty, 581-618; Tang	Ebrey 2010:108-35. Wang 1963:7-46 ("The Military Governors

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Sep 22	Dynasty, 618-907	and the T'ang Court"). Ebrey 1993:112-15 ("Emperor Taizong on Effective Government"), 123-24 ("The Dancing Horses of Xuanzong's Court"), 132-36 ("A Pilgrim's Visit to the Five Terraces Mountain").
Sep 25	Five Dynasties and Ten Kingdoms, 907-960; Northern Song, 960-1126	Ebrey 2010:136-63. Ebrey 1993:151-54 (Wang Anshi, Sima Guang, and Emperor Shenzong"). Liu 1959:1-10, 114-16 ("A Brief Account of Wang's Life and the New Policies").
Sep 27	Liao Dynasty, 907-1125, Xia Dynasty, 1038-1227, Jin Dynasty, 1115-1234; Southern Song, 1127-1279	Ebrey 2010:164-89. Ebrey 1993:139-41 ("The Tanguts and Their Relations with the Chinese"). Kracke 1955:479-88 ("Sung Society: Change within Tradition"). Ebrey 1993:169-71 ("Longing to Recover the North").
Sep 29	Yuan Dynasty, 1271-1368/Review	Ebrey 1993:192-94 ("A Mongol Governor"). Buell 2003:53-70 ("Qanate China")
Oct 2	Quiz 2: Imperial China	
Oct 4	Society – Kinship	Ebrey 1993:64-68 ("The Classic of Filial Piety"), 125-127 ("Family Business"), 155-56 ("Rules of the Fan Lineage").
Oct 6	Society – Classes	Ebrey 1993:42-45 ("Social Rituals"). <i>Review</i> : Ebrey 2010:93-95 ("Clients, Retainers, etc."); 145-49 ("Scholarly Elite").
Oct 8-15	Fall Break – no classes	
Oct 16	No class	
Oct 18	Society – Women	Ebrey 1993:72-76 ("A Woman's Virtues and Vices"), 164-68 ("Women and the Problems They Create"). Shum 2005:38-57 ("Domestic Education of Women in the Tang Dynasty"). Zheng 2004:17-29 ("Characteristics of Women's Lives during the Song Dynasty"). <i>Review</i> : Ebrey 2010:158-61 ("Women's Lives").
Oct 20	Government – Central	Ebrey 1993: 186-87 ("The Mutual Responsibility System"). Dull 1990:55-85 ("The Evolution of Government in China").
Oct 23	Government – Local	
Oct 25	Government – Recruitment	Ebrey 1993:69-71 ("Wang Fu on Friendship and Getting Ahead"), 91-96 ("Ge Hong's Autobiography"), 128-31 ("The Examination System"), 195-98 ("A Schedule for Learning").
Oct 27	Land and Taxes	Elvin 1973:35-68 ("The Crisis of the Third Century," "Sino-barbarian Synthesis in North China," "The Middle Empire").
Oct 30	Military	Ebrey 1993:14-16 ("The Battle between Jin and Chu") Dreyer 2002:19-38 ("Continuity and Change"). Ebrey, "Military Technology." http://depts.washington.edu/chinaciv/miltech/miltech.htm

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Nov 1	Economy	Ebrey 1993:60-63 (“The Debate on Salt and Iron”), 178-85 (“The Attractions of the Capital”), 188-91 (“On Farming”). Jiang and Xiao 1981:103-17 (“Glimpses of the Urban Economy of Bianjing, Capital of the Northern Song Dynasty”). <i>Review</i> : Ebrey 2010:73-75 (“Agrarian Economy”), 127-28 (“... Economic Realignments”), 141-44 (“The Burgeoning Economy”)156-57 (“Rice”), 159 (see population maps).
Nov 3	Legal History	Ebrey 1993:51-53 (“Penal Servitude and Qin Law”), 116-19 (“Tang Legal Code”).
Nov 6	Non-Han Rule	Eberhard 1965:106-39 (“Patterns of Nomadic Rule”). <i>Review</i> : Ebrey 2010:173-85 (“Life . . . under Alien Rule,” “Ethnicity, . . .”).
Nov 8	Quiz 3: Topics in Chinese History (1)	
Nov 10	Religion – Early	Ebrey 1993:6-7 (“The Metal Bound Box”), 8-10 (“Hexagrams”). <i>Review</i> : Ebrey 2010:21 (“Ancestors”).
Nov 13	Religion –Popular, Daoism, Western	Ebrey 1993:80-82 (“Local Cults”), 105-108 (“Tales of Ghosts and Demons”). 142-45 (“Book of Rewards and Punishments”), 146-50 (“Precepts of the Perfect Truth Daoist Sect”), 157-63 (“Ancestral Rites”). Thompson 1979:98-111 (“The Religious Vocation, Religious Taoism”). <i>Review</i> : Ebrey 2010:100-102 (“Daoist Religion”).
Nov 15	Religion – Buddhism - Theology	Ebrey 1993:97-102 (“Buddhist Doctrines and Practices”). Thompson 1979:84-98 (“The Religious Vocation, Chinese Buddhism”).
Nov 17	Philosophy – Early	Ebrey 1993:17-26 (“Confucian Teachings”), 27-31 (Daoist Teachings”), 32-37 (“Legalist Teachings”). de Bary 1960.1:36-47 (Mo Tzu). <i>Review</i> : Ebrey 2010:42-55 (“Confucius . . . ,” “Daoism,” “Legalism,” “Other Schools. . .”).
Nov 20	Philosophy – Imperial Era	Ebrey 1993:57-59 (“Heaven, Earth, and Man”). De Bary 1960.1:472-478 (Ch'eng Yi), 481-502 (Chu Hsi), 504-509 (Ch'eng Hao), 510-514 (Lu Hsiang-shan). <i>Review</i> : Ebrey 2010:130-33 (“Reassessing China’s Culture and Institutions”), 150-54 (“A Turn Inward”).
Nov 22	Quiz 4: Topics in Chinese History (2)	
Nov 24	Thanksgiving Holiday – no class	
Nov 27	Culture – Historical Writing and Literature	Literature : Ebrey 1993:11-13 (“Songs and Poems”). Birch 1965:67-70 (Ch'ü Yuan, “From the Nine Declarations”), 138-41 (Chia Yi, “The Owl,” “Lament for Ch'ü Yuan”) 182-83, 187 (Tao Ch'ien, “Two Poems on Returning to Dwell in the Country,” ”Putting the Blame on His Sons”), 230-33 (Li Po, “Four Poems on Wine”), 238-241 (Tu Fu, “Recruiting Officer at Shih-hao Village,” “A Song of War Chariots”), 266-69 (Po Chü-yi, “A Song of Unending Sorrow”). <i>Review</i> : Ebrey 2010:114 (“Love Stories”), 140 (“Su Dongpo”), 186-87 (“Drama . . .”).

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Nov 29	Visual Arts (1): Neolithic to Bronze Age, Imperial Age Figurines and Ceramics	Rawson 1996:248-65 (“The Ritual Bronzes of the Shang and Zhou,”). <i>Review</i> : Ebrey 2010:28-30 (“Bronzes”), 36-37 (“Animals and Human Imagery in Chinese Bronzes”).
Dec 1	Visual Arts (2): Pictorial Brick, Wall Murals, Figure and Landscape Paintings	Ebrey 1993:199-201 (“A Scholar-Painter's Diary”). Seckel: 1993:7-26 (“The Rise of Portraiture in Chinese Art”). Ebrey, “Painting” http://depts.washington.edu/chinaciv/painting/4ptgintr.htm . <i>Review</i> : Ebrey 2010:162-63 (“Landscape Painting”), 181 (“Zhao Mengfu”).
Dec 4	Visual Arts (3): Buddhist Murals and Sculptures	Ji and Paludan 2001:19-29 (“The Origins and Development of Chinese Buddhist Sculpture”). Ebrey, “Buddhism,” http://depts.washington.edu/chinaciv/bud/5imgmain.htm . <i>Review</i> : Ebrey 2010:106-107 (“Early Buddhist Art”).
Dec 6	Change and Historical Eras (final exam prep)	
Dec 12	8:00-10:00 am in regular classroom http://registrar.utah.edu/academic-calendars/final-exams-fall.php	

Required texts:

Ebrey, Patricia Buckley. 1993. *Chinese Civilization: A Source Book*. Second edition. New York. The Free Press.

_____. 2010. *Cambridge Illustrated History of China*. Second edition. Cambridge, UK. Cambridge University Press.

Assigned readings:

Birch, Cyril. 1965. *Anthology of Chinese Literature from the Earliest Times to the Fourteenth Century*, 67-70 (Ch'ü Yuan, “From the Nine Declarations”), 138-41 (Chia Yi. “The Owl,” “Lament for C'hü Yuan”) 182-83, 187 (Tao Ch'ien, “Two Poems on Returning to Dwell in the Country,” “Putting the Blame on His Sons”), 230-33 (Li Po, “Four Poems on Wine”), 238-241 (Tu Fu, “Recruiting Officer at Sh-hao Village,” “A Song of War Chariots”), 266-69 (Po Chü-yi, “A Song of Unending Sorrow”), New York: Grove Press. PL2658 .E1 B5

Buell, Paul D. 2003. “Essay 3. Qanate China (1260-1368).” In *Historical Dictionary of the Mongol World Empire*, 63-70. Lanham, Maryland: The Scarecrow Press, Inc. DS 19 B84 2003 Mideast

de Bary, Wm. Theodore. 1960. *Sources of Chinese Tradition*, vol. 1, 36-47 (Mo Tzu), 472-478 (Ch'eng Yi), 481-502 (Chu Hsi), 504-509 (Ch'eng Hao), 510-514 (Lu Hsiang-shan). New York: Columbia University Press. DS703 D4

Dreyer, Edward L. 2002. “Continuity and Change.” In *A Military History of China*, 19-38. Ed. David A. Graff and Robin D. S. Higham, Boulder, Colorado: Westview.

Dull, Jack L. 1990. “The Evolution of Government in China.” In *Heritage of China: Contemporary Perspectives on Chinese Civilization*. Ed. Paul S. Ropp, 55-85. Berkeley : University of California Press. DS 721 H45 1990

Eberhard, Wolfram. 1965. *Conquerors and Rulers: Social Forces in Medieval China*, 106-39. Leiden: E.J. Brill. HN733 .E28 1965

Ebrey, Patricia Buckley, et. al. N.d.. "A Visual Source Book of Chinese History."

<http://depts.washington.edu/chinaciv/index.htm>

Elvin, Mark. 1973. *The Pattern of The Chinese Past: A Social and Economic Interpretation*, 35-68. Stanford: Stanford University Press. DS735 .E48 1973

Goldin, Paul R. 2005. "The Seven Worthies of the Bamboo Grove." In *Hawai'i Reader in Traditional Chinese Culture*, ed. Victor H. Mair, Nancy S. Steinhardt, Paul R. Goldin, 32-34. Honolulu: Hawaii University Press. DS721 H338 2005

Hinsch, Brett. 2003. "The Origins of Han-dynasty Consort Kin Power." In *East Asian History* nos. 25-26 (Jun-Dec 2003), 1-24. Marriott Library ARC, serials DS511 .E17

Ji, Chongjian and Ann Paludan, 2001. "The Origins and Development of Chinese Buddhist Sculpture." *Transactions of the Oriental Ceramic Society* 64 (1999-2001):19-29. NK 3700 O72 v. 64

Jiang, Qingxiang and Xiao Guoliang. 1981. "Glimpses of the Urban Economy of Bianjing, Capital of the Northern Song Dynasty." In *Jews in Old China: Studies by Chinese Scholars*. Translated, compiled, and edited by Sidney Shapiro, 103-17. New York: Hippocrene Books. DS 135 C5 J48 1984

Kracker, E.A., Jr. 1955. "Sung Society: Change within Tradition." *The Far Eastern Quarterly*. 14.4:479-88. (<http://www.jstor.org/stable/2941831>)

Lewis, Mark Edward. 2009. "Military Dynasticism." In *China Between Empires: The Northern and Southern Dynasties*, 54-85. Cambridge: The Belknap Press of Harvard University. DS 748.17 L49 2009—Access online via Marriott Library catalog.

_____. 2009. "The Rise of the Great Families." In *China Between Empires: The Northern and Southern Dynasties*, 28-53. Cambridge: The Belknap Press of Harvard University. DS 748.17 L49 2009—Access online via Marriott Library catalog.

Li, Feng. 2003. "'Feudalism' and Western Zhou: A Criticism." *Harvard Journal of Asiatic Studies* 63.1:115-44. (<http://www.jstor.org/stable/25066693>)

Liu, James T. C. 1959. "A Brief Account of Wang's Life and the New Policies," *Reform in Song China: Wang An-shih (1021-1086)*, 1-10, 114-16. Cambridge: Harvard University Press. Marriott Library JQ1502 .L5

Liu, Li. 2000. "Ancestor Worship: an Archaeological Investigation of Ritual Activities in Neolithic North China." *Journal of East Asian Archaeology* 2, nos. 1-2:129-64. (Available online via Marriott Library catalog)

Liu, Li and Chen Xingcan. 2006. "Sociopolitical Change from Neolithic to Bronze Age China." In *Archaeology of Asia*. Ed. Miriam T. Stark, 149-176. Oxford: Blackwell.

Rawson, Jessica. 1996. "The Ritual Bronze Vessels of the Shang and the Zhou." In *Mysteries of Ancient China: New Discoveries from the Early Dynasties*. Ed. Jessica Rawson, 248-265. New York: G. Braziller. DS 715 M97 1996

Seckel, Dietrich. 1993. "The Rise of Portraiture in Chinese Art." *Artibus Asiae* 53.1/2:7-26. (<http://www.jstor.org/stable/3250505>)

Shum, Olivia Ching Man. 2005. "Domestic Education of Women in the Tang Dynasty." *Renditions* 64:38-57. PL2658.E1 R46

Sima Qian, ca. 145-ca. 86 B.C. 1993a. "The Biography of Li Si." In *Records of the Grand Historian: Qin dynasty*. Burton Watson, trans., 179-206. Hong Kong: Research Centre for Translation, Chinese University of Hong Kong; New York : Columbia University Press, c1993. DS741.3 .S68313 1993

Thompson, Laurence G. 1979. "The Religious Vocation, Chinese Buddhism." *Chinese Religion: an Introduction*, third edition, 84-98, Belmont, California: Wadsworth. BL1802 .T5 1979

_____. 1979. "The Religious Vocation, Religious Daoism." *Chinese Religion: an Introduction*, third edition, 98-111, Belmont, California: Wadsworth. BL1802 .T5 1979

Walker, Robert Louis. 1953. *The Multi-state System of Ancient China*, 73-95. Hamden, Conn.: Show String Press. DS747 .W3 1953

Wang, Gungwu. 1963. "The Military Governors and the T'ang Court." *The Structure of Power in North China during the Five Dynasties*, 7-76. Kuala Lumpur: University of Malaya Press.

Zheng, Bijun. 2004. "Characteristics of Women's Lives during the Song Dynasty." In *Holding Up Half the Sky: Chinese Women Past, Present, and Future*, 17-29. Ed. Tao Jie, Zheng Bijun, and Shirley L. Mow. New York: Feminist Press at the City University of New York. HQ1767 .H643 2004